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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Docket No.: YOR9200010096US1

06/27/01
JC872 U.S. PTO
09/893158

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): ANNI ROSA CODEN AND ERIC WILLIAM BROWN

For: METHOD AND APPARATUS PROVIDING CAPITALIZATION
RECOVERY FOR TEXT

Enclosed are:

- Specification (31 pps.) consisting of: Description (25 pps); Claims (5 pps); Abstract (1 pp);
- 10 sheets of formal drawings;
- Declaration and Power of Attorney;
- Associate Power of Attorney;
- An assignment of the invention to: Assignee Name: International Business Machines Corporation Assignee Residence: Armonk, New York including a \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;
- Information Disclosure Statement (with copies of articles);
- Form - PTO-1449;
- Verified Statement Claiming Small Entity Status; and
- Priority of U.S. Patent Application Serial No. _____, filed on _____ is claimed under 35 U.S.C. §120.
- Priority of U.S. Provisional Patent Application Serial No. 60/263,959, filed on January 25, 2001 is claimed under 35 U.S.C. §119(e).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	20 - 20 =	0	x \$18.00	\$.00
Independent Claims	2 - 3 =	0	x \$80.00	\$.00
Multiple Dependent Claim Fee			x \$270.00	= \$0.00
TOTAL FILING FEE				\$710.00

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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- No fee enclosed – filing by missing parts.
- A check in the amount of \$ _____ for the filing fee (\$) and assignment recordal fee (\$) is enclosed.
- XXX** The Commissioner is hereby authorized to charge the amount of **\$750.00** for the (\$710.00) filing fee and the (\$40.00) recordation of Assignment fee and any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 50-0510**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Harry F. Smith, Esq.**
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
U.S.A.
Telephone: (203) 327-4500
Telefax: (203) 327-6401

June 27, 2001

Date of Signature


Harry F. Smith, Esq.

Attorney for Applicant(s)
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
Registration No. 32,493
(203) 327-4500

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL895400776US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on June 27, 2001.

Victoria E. Roeser

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